KPIC Facility Mode Calibration Procedure

(NOTE: When viewed online, figures may land in odd places. Thus, we recommend opening locally)

Overview

This procedure should be performed during the daytime before KPIC on-sky science operations for the Phase I Facility Mode. Its goal is to ensure proper alignment of all KPIC stages and maximal throughput for "direct spectroscopy" observations in K band using the facilitized Phase I mode of the instrument. It is adapted from the procedure developed and used by the KPIC team in the PI mode, with modifications to streamline steps while ensuring reliability and stability.

From a top-level, the procedure strives to:

- Ensure the front-end of the instrument (FIU fiber injection unit) is aligned and calibrated to optimally inject light into the K band fibers. This is done in Steps 0-III.
- Ensure that the back-end of the instrument (FEU fiber extraction unit) is aligned and calibrated to optimally send light into the NIRSPEC slit with appropriate sampling on the "SPEC" detector. This is done in Steps IV-VIII.
- Place the system in a stable "standby" mode after cals from which it can be quickly switched to an observing mode shortly before going on-sky. This is done in Step IX.
- Set the spectral order alignment to the tried-and-tested KPIC positions. Then take NIRSPEC backgrounds before going on-sky. This saves time at the end of the night and ensures that we capture all background light from the FEU before moving it out of the beam (where the bundle is not seen by SPEC). This is done in Step X.

The appendices of this document include:

- <u>A1: Lexicon Useful acronyms and other terms</u>
- <u>A2: Common debugging steps for daycals</u>
- <u>A3: Optional and supplemental procedures</u>
- A4: Schematic Diagrams of KPIC
- A5: Breakdown of related software functions

Syntax Notes:

- Orange machine font is for commands to be sent in a normal terminal
- Purple machine font is for commands to be sent in the kpython3 instance

Description of Procedure

This section describes each step in the procedure. The descriptions have been moved here to de-clutter the next section so that it just shows the relevant steps to perform. As such, refer back to this section when confused about what a step is trying to achieve.

0. K2AO Bench Setup and nfiuserver Connection:

Description: This makes sure that the K2AO bench is ready for KPIC-specific daycals. It also opens the relevant code and GUIs for KPIC daycals.

I. Initial Setup:

Runtime: <5 min

Description: This checks that all KPIC devices are connected and set to the right position for K-band Facility operations. It then turns on the main calibration light sources, using one of the KPIC MIR lamps plus a bright 2µm laser at the input of K2AO, to get strong signal on our calibration PD. This source setup is used for the front-end (FIU) cals (Steps II-IV).

II. <u>Fiber Finding:</u>

Runtime: 5 minutes

Description: This registers the position of the 4 science fibers in the CRED2 pixel coordinates so that we can optimally inject light and bounce between fibers at night. We do this, and the NCPA scans (next step) with the K2AO SHWFS loops closed to ensure the AO system is running the same way it will at night.

III. NCPA Scans:

Runtime: 10 minutes

Description: This uses the BMC DM to reduce non-common path aberrations (NCPA) in the system, thereby optimizing light injection into the fibers. We do this by scanning the first few Zernike mode aberrations and maximizing the signal on our calibration PD at the output of the bundle. It is done on only a single science fiber since we've demonstrated that there is no noticeable NCPA between the science fibers.

IV. <u>NIRSPEC Setup:</u>

Runtime: <5 minutes

Description: This sets up NIRSPEC for KPIC observing.

V. <u>Send Light to NIRSPEC SPEC Detector:</u>

Runtime: <5 minutes

Description: The KPIC 2 μ m laser would be very bright on SPEC/SCAM, and the main KPIC MIR light cuts off at around 2.2 μ m, so we perform the rest of our cals with the Keck calibration lamp in the SFP. Here we switch sources and take a test exposure on SPEC to make sure light is getting through to the detector.

VI. <u>Slit Alignment Scan:</u>

Runtime: 15 minutes

Description: This centers the re-imaged bundle PSF onto the NIRSPEC slit to ensure maximum throughput to the SPEC detector. We use the KPIC slit alignment mirror (SAM) in the FEU (on the NIRSPAO plate) to scan the PSF over the slit plane.

VII. <u>PSM Focus Scan (first night only):</u>

Runtime: <5 minutes

Description: This ensures that the PSM focus is set to properly sample the fiber traces on NIRSPEC. This stage is very reliable, so the scan is only needed on the first night of an observing run.

VIII. <u>Confirm NIRSPEC headers are working</u>:

Runtime: <2 minutes

Description: This double-checks that the NIRSPEC headers are correctly pulling the KPIC keywords. The headers have not been an issue since early 2023, but we keep the step in here since it's fast and just in case.

IX. <u>Set KPIC to Standby:</u>

Runtime: <5 minutes (does not include backgrounds)

Description: This puts KPIC in a standby state where all lights are off and all stages are ready to go on-sky, but the BMC DM voltages are zeroed for safety. It also has an option for a standby mode where the SAM rotator is out of the NIRSPAO beam in case there are normal NIRSPAO observations before KPIC goes on-sky.

X. <u>Check Spectral Order Alignment and Take Backgrounds:</u>

Runtime: ~5-10 minutes (depends on how far off it is)

Description: This step ensures that we line up with the previous wavelength solutions to cover important spectral features (eg. CO 2-0 bandhead at 2.29um). Doing this also makes the data reduction easier since the DRP will have a better starting condition for the wavelength solution. Finally, the step provides some instructions for how to take valid backgrounds with KPIC.

XI. In the KPIC standby state, what can we NOT do?:

Runtime: N/A

Description: This section provides a list of the actions that *cannot* be done after calibrations are complete. The actions would affect the state of the calibrated instrument and are non-repeatable, i.e. parts of the calibration procedure will have to be repeated if these actions are performed.

Daytime Calibration Procedure

0. K2AO Bench Setup and nfiuserver Connection

- 1. **On first night only:** do full K2AO NIRC2 NGS calibrations as per: <u>link</u>. Do a closed loop check on NIRC2. After the check, leave the SHWFS and RTC in "NORMAL".
- On first night only: in k2aoserver-new (/net/k2aoservernew/usr/local/kroot.2024.02/rel/ao/qfix/data/), verify the values in "inst_fc0.dat". Edit the NIRC2-N value to set it to be equal to the KPIC-N value. (e.g. -8.740 for both on 2024May20 UTDate)
- **3.** If this is a subsequent night: Do the normal "calibration setup" for K2AO/NIRC2-NGS from the "Keck II calib" (Calibration Tools) GUI on k2oaserver-new.
- 4. Open a VNC to nfiuserver from a NIRSPEC VNC window. Pick a window you can share via a virtual desktop (e.g. NIRSPEC control1: lower right is convenient).
 - a. Open an xterm and run: vncviewer nfiuserver: 5901
 - b. Note: this may close existing nfiuserver VNC's already open. If so, just reopen those.
- 5. Open a kpic terminal and run kpic_reset_tracking_for_facility. This will make sure that the tracking loop settings are set correctly for facility operations.
- 6. In a terminal (can be same as step 5), run start_kpic_cals. This starts the main KPIC GUI (Viewer), the KPIC stage status GUI, and another terminal with a kpython3 session with the KPIC calibrations code imported and ready to run. This start_kpic_cals command does not actually run any steps of the procedure, it just opens things, so it is okay to execute the command at any time.

I. Initial Setup

- Run the function: calib_setup_sfp()
 - a. If this hangs for any reason, you can ctrl-c and just rerun.
 - b. Note: the PSF may not look great at this point that's okay. The Keck DM probably hasn't been set yet after the Calib Setup and we haven't loaded the BMC DM map yet. That's done at the start of the next subsection.
 - c. This should set all KPIC stages to the positions for FIU calibrations. The full list is given in the table at the end of A4.
 - d. If you don't see light on the CRED2, follow the debugging steps in $\underline{A2}$.
 - e. If the PSF is saturated, run calib_setup_sfp() again and make sure after it's done, the GUI shows that the CRED2 FPS = 400 and Tint=0.1. If not, select 'Custom' next to the Hmag Settings and enter 400 for the FPS, *then* 0.06 for the Tint.

Notes:

• If this is the first night of a KPIC run, you can optionally follow the procedure in <u>A3</u> to check that the PSF and pupil are well-centered. This is less important now that the PyWFS is not in use, as the PSF should consistently be well-centered. We include the procedure only for completeness but you shouldn't have to do it regularly.

II. Fiber Finding

- 1. Close the loop on the SHWFS
 - a. If they are not already open, open the AO Acq Sim and WFS Intensity GUIs in a "k2aoserver-new" VNC session:
 - i. Note: If you don't know how to access the k2aoserver-new VNC session (ie. KPIC team member), reach out to an SA who does.
 - ii. Right click on the blue desktop background. Under "K2 AO Tools", select "AO Acquisition (Simulation)".
 - iii. Right click on the blue desktop background again. Under "K2 AO Tools", select the "WFS Intensity Display".
 - b. In the AO Acq Sim, set up bench using the options for:
 - i. Set up for a magnitude 7 source (use the mR text box, and make sure to hit "Enter" on your keyboard to have the value accepted)
 - ii. AO Mode: NGS-AO
 - iii. Ref: KPIC (do NOT use NIRC2 or OPT AXIS)
 - iv. WFS Background "no"
 - v. Select "Setup Bench".
 - vi. Note for SAs: The SFP will stay at "KPIC-silica" throughout until we move to FEU cals (ie. in <u>Step V</u> we will switch to 'pws' on the SFP). Do not move the SFP manually to some other position, make sure it is at "KPIC-silica".
 - c. Set the KPIC lights to off so that you can take a background: turn_off_kpic_lights().
 - d. Take the SHWFS background. This is done with the "Record bkgd only" button in the "WFS Intensity Keck II" GUI.
 - e. Set the KPIC lights back on: kpic_sfp_source('on').
 - f. Explicitly load the K2 (Xinetics) DM shape using the DM Control GUI.
 - i. DM control GUI can be opened with the "DM Control" button in the "Keck II calib" GUI.

- ii. Click the "Load voltages from file" button, then select the latest shape the one made for this KPIC run (in <u>Step 0</u>.1 above).
- g. Confirm that you have an acceptable number of counts on the WFS Intensity GUI. Somewhere between 200 and 60,000 is fine. (As of June 2024, we were getting about 2,000).
- h. Select "Acquire Star" on the AO Acq GUI
- i. Look at the KPIC tracking camera (CRED2) and check that the PSF looks stable and reasonable (ie. loops closed well). Note that it still won't be perfect since we load the BMC DM map in the next step. However, it should be roughly point-like and not jumping everywhere.
- Run the function: fiber_finding()
 - a. This will prompt you with a question about the the DM map. If the PSF appears heavily aberrated, type n and either accept the suggested recent map or enter the full path to a recent good map. See <u>A2</u> for more details.
 - The suggested recent map is usually good enough so you should be good to accept it, but you should confirm that the filename is
 NCPA map ds sf2 <date>.npy.
 - b. Subsequent prompts between each fiber ask you to confirm if the fiber positions should be updated. Type y if the Gaussian fit looks good on the plot (see example below). If it does not look good, or you have seemingly no signal on the left plot, type n and proceed to the next fiber, then once the other fibers are done, see A2 for debugging steps for the bad fiber.
- 3. If there's been a large shift, or if you would like to validate the result, you can re-run the function to validate that coordinates were updated correctly: fiber_finding()

Notes:

- Fiber 2 Injection Map Gaussian fit Residual 4723.8 4723.8 4723.8 Dixel) direction (pixel) (laxid) 4688.4 4688.4 4688. direction direction 4652.9 4652.9 4652.9 offset Y offset Y 4617.4 offset Y 4617.4 4617.4 4582.0 SF SF 4582.0 SFF 4582.0 4546.5 4546.5 4546.5 5732.8 5767.5 5802.3 5837.0 5871.8 5906.6 5732.8 5767.5 5802.3 5837.0 5871.8 5906.6 5732.8 5767.5 5802.3 5837.0 5871.8 5906.6 PSF offset X direction (pixel) PSF offset X direction (pixel) PSF offset X direction (pixel)
- Here is a screenshot of a good fit.

• If you get a warning about "PD power is low", *but* the plot shows that the fiber position has not shifted significantly, follow the procedure in <u>A2</u>.

• If you get a warning about "PD power is low" *and* the plot shows a large shift in the fiber positions, rerun the scan to confirm the fiber positions. The warning is based on the initial PD read, which can be lower than expected if the fiber has shifted more than usual. Rerunning the scan with the improved positions should not trigger the warning again and will verify that the large move was performed correctly. If the warning persists, follow the procedure in A2.

III. NCPA Scans

- 1. Run the function: flat = ncpa_scan_tests()
 - a. The scan will ask if you want to keep the current map on the DM. Since you presumably already loaded a recent/decent flat map in the previous step, hit
 'y'. This prompt is there in case things need to be run out-of-order or you don't like the map from fiber_finding.
 - b. This will run through aberrations from defocus to first order spherical. The status will be printed to the terminal, and corrections automatically applied.
 - c. *At the end* of the scan, plots of each mode will come up. If the fits look good (see examples below), close the plots and continue. Otherwise, rerun the scan for that specific Zernike (see notes section in bold below).
 - i. Note that the first plot may be blank until the second plot is drawn, this appears to be a python issue. Similarly, ignore any "Figure not responding" popups, they should resolve themselves
 - d. The final power should ideally be >1. If it's less, try the <u>"PD power is low"</u> procedure in A2. Then retry this scan.
- 2. The function will print the resulting DM map filename. Note it down somewhere in case you need it later (see note below).
- 3. If SHWFS loops were closed, open them now.
 - a. Hit the "open" button in the MAORI GUI that opens both DM and TT loops at once.
 - After opening the loops, double-check that the Xinetics (K2AO Bench) DM is set to the right map (same that you applied at the beginning and which you calibrated for KPIC/NIRC2 and saved as a DM map, e.g. 20240518_nirc2_shwfs.dm).
- 4. Once done with NCPAs, run kpic_sfp_source('off') to turn off the KPIC light sources before doing anything with NIRSPEC. This will also turn on the Keck source.

Notes:

• Here are some example screenshots of what a good fit looks like. If the fits (black dashed lines) match the measurements (black dots) well, and the red dashed line is at the peak of the fit (as shown below).



• And here's a screenshot where things look a little wonky. In this case the best-fit correction is ~0 and is visually ok, so a rescan isn't necessary.



- The code prints the final DM map file. For reference it is saved as: /nfiudata/rtcdata/calibration/BMC/NCPA_map_ds_sf2_<date>.npy
- The final plot shows the PD power after each noll index was tuned. It is okay for it to
 not monotonically increase, but very large drops (>15% of value) between steps
 merit repeating the NCPA scan. See screenshot below for an example (though the
 units for your plot will be in raw Volts, so they will be ~1 to 5V, rather than something
 in the tens of thousands as shown here).



- To run a single Zernike, specify the noll keyword argument, e.g.: flat = ncpa_scan_tests(noll=4) will scan only noll 4 (defocus), and will require manual confirmation that the scan looks ok.
- To save the map with a specific filename, update the date keyword argument, e.g.: ncpa_scan_tests(date='240520a') This is usually only needed for non-facility
 operations, but can be useful if you want to re-run the scan while preserving the
 current solution.

IV. NIRSPEC Setup

- 1. Open the NIRSPEC instrument control GUI: "right-click" on the background, then select "NIRSPEC GUI"
- 2. In the "NIRSPEC instrument control" GUI (see screenshot), set following:



- a. Fold Mirror (CALFOLDNAM) = "out"
- b. FEU Flip (FEUFLIPPOS) = "in"
- c. Rotator (IROTPOS) = "Phys: 0.00"
- d. Filter (SCIFILTPOS) = "Kband-new"

- e. Stop (SCIFILTSTOP) = "FEU" (ALWAYS CHECK THIS!!)
 - i. Note that the Stop is not shown in the default GUI, so you need to click on the button next to "Filter" which opens a smaller GUI which shows the current filter *and* stop.
- f. Slit (SLITNAM) = 0.0407x2.26
- g. Echelle Grating (EC Grating) = 63.00
- h. Cross Disperser (XD Grating) = 35.8
- i. All cals off



- j. Slit Camera Filter: "Shortpass"
- 3. Type newdir in a NIRSPEC terminal to update the directory for the night.

V. Send Light to NIRSPEC SPEC Detector

- 1. Note: make sure you've run kpic_sfp_source('off') to turn off the KPIC 2µm laser before opening the hatch to NIRSPEC.
- 2. Manually open the NIRSPEC hatch using the NIRSPEC GUI
- 3. Run setup_for_spec() to set the system to send Keck light through KPIC to NSPEC
 - a. If this gets stuck, go to NIRSPEC VNCs and take an image manually (see procedure in <u>A2</u>).
 - b. If you do not see light on SPEC (should look like the screenshot below), then follow the debugging steps in A2.



VI. Slit Alignment Scan

- 1. Run sam_scan(). This will print the best-fit SAM y position and show a Python figure. The plot should have a clear peak.
 - a. If the peak is at the edge of the scan, rerun with a larger range using sam_scan(scan_range=0.06)
 - b. Here is an example of a "good" plot. Note the clear peak.



- 2. During the analysis, the scan will compare the new optimal SAM position to the value saved for on_slit in SAM.ini. If the value has changed, the code will print a reminder to update the position in this file. To save the new position in the SAM.ini, edit the file and make install it:
 - a. cd \$KROOT/src/kss/kpic/devices/SAM/SAM_ini
 - b. vim SAM.ini
 - c. change the on_slit preset to your newly determined position (type "i" to edit)
 - d. quit vim and save the file (can use Escape shift zz)
 - e. make install in that SAM_ini subdirectory
- 3. Once the physical scan is done, the code will automatically take a few NIRSPEC frames at the new position and print the flux value to the terminal. If the flux on NIRSPEC after the move differs from the peak of the scan by >10%, the code will print a warning and suggest rerunning the scan. Consider using sam_scan(sam_y_step=0.0015) for a finer scan in this case.
- 4. Critical: The SAM XY is not completely repeatable. As such, moving this stage should be completely avoided once this step has been performed. If the stage must be moved, you should consider re-running just this SAM XY scan (step 1 in this section) to ensure optimal throughput to SPEC.

VII. PSM Focus Scan (first night only)

- 1. If this is not the first night of a run, and you already determined the optimal focus:
 - a. Set the focus to the previously-determined optimal position by entering the value in the KPIC GUI: Devices tab → FEU → "Pupil Steering Mechanism Focus". After typing your value, hit the right arrow to move the stage.
 - b. Now skip the rest of this Step and proceed to <u>Step VIII</u>.
- 2. If this is the first night of a run, proceed with the rest of this section.
- 3. Run focus_scan()
- 4. When plot pops up, pick a point where all orders show about 2.5 pixels sampling. Here is an example of the output plot. Note that most orders overlap w/ ~2.5pix around a focus of 2.50 to 2.52 mm. Any value around here is fine (ie. 2.51 is good). Precision isn't critical here.



5. After the scan, if the new focus you've chosen is different than what is printed in "Pupil Steering Mechanism Focus" in the KPIC GUI: Devices tab → FEU → "Pupil Steering Mechanism Focus", edit the value by clicking the box with the number, then hit the arrow to the right to send the stage there.

VIII. Confirm NIRSPEC headers are working

- 1. Check that NIRSPEC headers are updating with the latest KPIC keywords. There are many ways to do this; here are two:
 - a. From an nspec terminal in the cdata directory: fitsheader -f -k FAMX nspec240521_013?.fits
 - b. Using the NSPEC Ginga: navigate to the "Header" tab and scroll down to the KPIC keywords (towards the bottom, starting with many that have an "FIU" prefix). Now toggle through a few NIRSPEC frames and check that the values were changing between frames.
 - i. FAMX and FAMY are good ones to look at since they change relatively often.
 - ii. If you just did the SAM or focus scans, you could also check SAMY or PSMFPOS.

- iii. For frames with tracking set to different science fibers, FIUGNM is another good one; it should say 'science fiber X' where 'X' is the fiber number.
- 2. If the keywords are not updating, follow the procedure in <u>A2</u> to restart the nsheaders service.

IX. Set KPIC to Standby

- 1. Run kpic_offsky(sam_rot_out=?). There are 2 choices here:
 - a. Scenario 1: If KPIC is on-sky for the first part of the night, or the full night, OR the first-half is NIRC2, use sam_rot_out=False.
 - i. In this case, you can proceed to the next section for the echelle spectral order check and can then take backgrounds.
 - b. Scenario 2: If the first-half night is a regular NIRSPAO night (not KPIC): set sam_rot_out=True to make sure the SAM rotator is 'out' and hence does not block the beam for NIRSPEC in side the NIRSPAO plate. In this case you:
 - i. can proceed to the spectral order check.
 - i. DO NOT take backgrounds (they will be invalid since the SAM rotator is out).
 - ii. remind observers to take backgrounds at the end of the night (in the morning).

Notes:

• **Critical:** The SAM rotator is not completely repeatable, though it is more reliable than the SAM XY stage. As such, moving the rotator is inadvisable after calibrations are complete, unless truly necessary. If there is NIRSPAO science before KPIC science, you'll need to move the SAM rotator out of the beam. This may lead to a small throughput hit but is unavoidable. If there is no NIRSPAO science before KPIC science, we strongly encourage you to avoid moving the stage.

X. Check Spectral Order Alignment and Take Backgrounds

NOTE: This may be something that your observers should do instead of the SAs. However, we put it here as a reference for how these steps can be performed

Spectral Order Check:

Note: The following assumes the standard K-band setup used by the KPIC team. Observers can choose different grating settings (provided they're within the K-band), but this is untested. Such a setup should work, but will require additional effort by the observers to determine the wavelength solution for the instrument.

 In the NIRSPEC control 0 window (not the nfiuserver VNC), find the "NIRSPEC Instrument Control" GUI. Set the "Fold mirror" to "In" and turn the four gas lamps 'on' using the 'Arcs' button. (see screenshot below)



2. In a NIRSPEC SPEC terminal (Control 2), use "atint 10", "coadd 1", and then "goi" to take an arc lamp exposure, you will see a spectrum like the figure below. Change 'XD Grating' to align the following Krypton line indicated by the red arrow to be around (x, y) = (807, 624). Note: +/-5pix should be okay. These positions are for the bottom middle of the line (where the red arrow is pointing).



- Revert the NIRSPEC settings back. Fold mirror \rightarrow out; lamps \rightarrow off.
- Add in in directions for how to run Greg's Script

Notes:

- You might need to change the EC (echelle) grating (controls mostly the spectral direction) on the "NIRSPEC Instrument Control" GUI. In general, change a little bit (by 0.01 deg) to see if the Krypton lines up to the location in the figure (within 5 pixels would be okay).
- While you mostly will not need to change the EC grating, sometimes the NIRSPEC motor fails and the spectral direction will be shifted by 1/3 of the detector. The troubleshooting procedure is listed in "Large shift on the spectra" https://www2.keck.hawaii.edu/inst/nirspec/troubleshooting.html#bad_spectra.

Take Backgrounds:

- 1. Double check that the SAM rotator is set to "in" and that the NIRSPEC hatch is OPEN. Confirm that no lights are on in the AO bench.
- 2. Take backgrounds for the settings that you/observers expect to use that night. Here is a set of recommended backgrounds that the KPIC team usually uses. You can let your Observers decide which ones they want.
 - a. NOTE: Make sure to leave the NIRSPEC hatch open and SAM rotator 'in' when taking backgrounds!
 - b. atint 1.5; goi 10; atint 10; goi 10; atint 30; goi 10; atint 60; goi 10; atint 90; goi 10; atint 120; goi 10; atint 180; goi 10; atint 300; goi 10
- 3. Similarly, if your observers want to take flats, this would be a good time to take them.

XI. In the KPIC standby state, what can we NOT do?

- Do not move the SAM XY stage in KPIC. If you do, you'll need to rerun the SAM scan (Step VII).
- Try to *not* move the SAM rotator (unless there is NIRSPAO science on-sky before KPIC, in which case you should have set the sam_rot_out=True argument to move the rotator out of the beam in <u>Step X</u>). If you unintentionally move the SAM rotator, you can (optionally) rerun the SAM scan (<u>Step VII</u>) but you could also go on-sky without having rerun it, and that would just lead to a minor throughput hit on-sky.
- While KPIC backgrounds and flats are being taken, you *must not* turn on any lights inside the K2AO bench (since the NIRSPEC hatch is open for these in KPIC mode).

Appendix 1: Lexicon – Useful acronyms and other terms

ADC	atmospheric dispersion corrector		
BMC	the KPIC DM		
CRED2	the KPIC tracking camera		
DM	deformable mirror		
DS	direct spectroscopy		
DFB	stage that picks off light from NIRC2 to send to KPIC		
DRP	data reduction pipeline		
FAM	fiber alignment mirror		
FEU	fiber extraction unit		
FIU	fiber injection unit		
FSM	field steering mirror (in K2AO) OR fast steering mirror (within KPIC)		
GUI	graphical user interface		
K2AO	Keck's adaptive optics system, which feeds KPIC		
LSRCSWT	light source switch to change between KPIC and K2AO sources at the front of		
	the AO bench		
MIR	mid-infrared, refers to 2 KPIC calibration lamps		
NCPA	non-common path aberrations		
NIRC2	Keck's imaging detector		
NIRSPEC	Keck's high-resolution spectrograph		
PIAA	Phase-induced amplitude apodizer		
PSM	pupil steering mirror		
РуРО	pyramid pickoff dichroic		
PyWFS	pyramid wavefront sensor		
SCAM	a camera we use for aligning to NIRSPEC		
SFP	the light source holder at the front of Keck		
SHWFS	Shack-Hartmann wavefront sensor		
SPEC	spectrograph camera for NIRSPEC		
TCP	tracking camera pickoff mirror		
PD	photodetector located at the output of the bundle for calibrations		
VNC	virtual network computing (remote access to desktops)		
SAM	slit alignment mirror		

Appendix 2: Common debugging steps for daycals

(For Step I) If after calib_setup_sfp you don't see light on the CRED2

- First, try re-running calib_setup_sfp() once or twice more. If this fixes it, move on with the normal procedure.
- If you still don't have light on the CRED2, check that the light sources have been set correctly by calib_setup_sfp(). The correct light settings would be:
 - MIR Lamp 2 (SFP ZBLAN NPS 1 Port 3) = 'off'
 - You can check this and the MIR Lamp 1 (below) using the pdu_gui. See the screenshot below for what that GUI should look like at this part of the script. Note that the two arrowed outlets are the important ones here.
 - If SFP ZBLAN is not 'off' turn it off (can use pdu_gui)
 - MIR Lamp 1 (KPIC SILICA NPS 3 Port 4) = 'on'
 - If KPIC SILICA is not 'on', turn it on (can use pdu_gui)



- KPIC 2um Laser (2um Laser NPS 3 Port 6) = 'on'
 - If not 'on', turn it on (can use pdu_gui)
 - Should also be enabled and at 250mA of power. Running set_kpic_laser('on') inside the python terminal, should print several lines, with the last two reporting:
 - *b*'current=250\r<' (this means power is at 250mA)
 - *b'enable=1\r<* ' (this means emission is enabled)

- Keck Light Source:
 - Filter should be at 'open'
 - But Keck source should be 'off'
 - Note: the Keck Light Source control GUI sometimes get out of sync, so you may have to restart it.
- If all the lights are on correctly, double check the stage positions against the values in the table at the end of A4.
- If you still don't have light, then the KPIC optical switches may be out of sync with their true state. This has can happen after a mode change (from PI to Facility) or after a full KPIC instrument reboot (where the labjack is rebooted). Either way:
 - You can re-synchronize the switches using their keywords. Toggle between the two states on each switch. **Toggle each one twice** to resynchronize. ie:
 - modify -s kpic LSRCSWT=LFC
 - modify -s kpic LSRCSWT=Lamps
 - modify -s kpic LSRCSWT=LFC
 - modify -s kpic LSRCSWT=Lamps
 - modify -s kpic BENCHSWT=KPICIN
 - modify -s kpic BENCHSWT=K2AOIN
 - modify -s kpic BENCHSWT=KPICIN
 - modify -s kpic BENCHSWT=K2AOIN

(For Step II) Finding a good starting DM map:

- After running fiber_finding(), the script will load a BMC DM map from the last calibration. The PSF should look pretty good. If the PSF is quite aberrated, the loaded map is likely incorrect. You can manually load a DM map with the following:
 - BMC DM maps are saved in /nfiudata/rtcdata/calibration/BMC/. The latest map is usually the correct one, with naming convention e.g. NCPA_map_ds_sf2_240520.npy.
 - o ini_flat = np.load('/nfiudata/rtcdata/calibration/BMC/ NCPA_map_ds_sf2_240520.npy')
 - o DM.setSurf(ini_flat)
- Also make sure to double check the K2 (Xinetics) DM map if you are concerned about a bad PSF and the KPIC BMC DM is already set to a map that should look good. The Keck AO Acq and Calib Setup tools seem to reset the map often. If you are in closed-loop on the SHWFS, and still see a bad PSF but are fairly certain that the KPIC BMC DM is set correctly, then double check that the SHWFS is set up for NIRC2/KPIC operations. Also double check the defocus setting on the SHWFS.

(For Step II) Debugging a bad Gaussian fit in the fiber finding:

- Try to increase the grid size of the scan. This can be done with increasing the start and stop parameters in the function. The default values are fiber_finding(start=-5, stop=5, step=0.5) Values are in CRED2 pixels. If you need to rescan, recommend starting with fiber_finding(start=-10, stop=10, step=1), and then further increasing the star/stop as needed. Step > 1 might start to undersample the PSF.
- If a larger (e.g. +/-10 pixel) scan does not show any signs of the fiber, proceed to the procedure below to adjust the SAM position for the fiber

(For Steps II and III) "Low PD Power" reported in fiber finding or NCPA:

If you see a warning that PD power is low (<0.3 V), it's possible that the KPIC back-end (FEU) is misaligned somehow.

This is most likely due to the SAM X/Y which needs to point the fibers onto the PD. Follow the procedure below to realign the back-end SAM position for that fiber:

- Make sure tracking is on and set it to point to the desired fiber (ex: fiber 2).
- Set the SAM to match (ex: pd_sf2) by doing SAM goto pd_sf2. To account for backlash in the stage, you could consider:
 - Check the current pd_sf2 defined position: SAM goto (just those two words) will print the list of current defined positions. Note the value next to your desired fiber goal.
 - Command the SAM to somewhere slightly "lower" than this. That is, if the goal is [3.1714, 4.3635], then you could subtract ~0.05 from each axis and use SAM goto 3.12, 4.31.
 - Now command the SAM to the desired position: SAM goto pd_sf2.
- In the kpython3 Python terminal, do ret = tools.sam_2D_scan(start=-0.03, stop=0.03, nsteps=7). Usually "start = -0.03, stop=0.03, nsteps=7" is good.
- At the end of the sam_2D_scan, the optimal position is reported. Note this position.
 - If all points in that scan still yield low power (<0.3 V), try a wider scan (ex: start=-0.06, stop=0.06, nsteps=14). Note that wider scans take much longer to run.
 - If that scan still doesn't yield a high-power point, try plotting it with: tools.plot_2D_scan(ret[0], ret[2], ret[1]). This serves as a debugging step, letting you check if you see somewhere in the scan that has higher signal than the rest, indicating that the PSF might be in that direction.

- Note that it is incredibly rare that a wider scan does not show the PSF, since the KPIC back-end is pretty stable. If this occurs, consider reaching out to the KPIC team for help.
- Once you have the new position for peak power, go to \$KR00T/src/kss/kpic/devices/SAM/SAM_ini/ and open SAM.ini to edit the preset pd_sfX accordingly, where X = 1 or 2 or 3 or 4 (the fiber of interest). After editing the SAM.ini, make install in that directory to save the presets.
- Confirm that power has improved: in a terminal, do SAM goto pd_sfX (again X=fiber number) and then in the python terminal do tools.read_pd(100).mean() to check that the power indeed increased.

If the power is still low, consider scanning the PSM focus. However, this is a very stable and repeatable stage, so it is very unlikely that this is the issue (ie. make sure to try a SAM scan on the PD – previous procedure above – *before* assuming it is a focus issue).

- Set the tracking script and SAM to point to the desired fiber (see beginning of previous block of steps for how to do that).
- Now try explicitly commanding the PSM focus to the approximately the right position. For Facility mode K-band, the focus on the PD should be about 2.54mm (as of May 2024). Note that this is slightly different than the optimal focus when working with SPEC (which is closer to 2.51mm as of May 2024).
 - PSM focus can be set from the "Devices", "FEU" tab of the GUI.
 - Check the power at this focus position (tools.read_pd(100).mean() in a spec_scans_combined instance).
 - If this fixed your power issue, go back to the main daycals procedure and proceed with your normal scan (fiber_finding or NCPA scans).
 - If commanding the PSM focus to the approximately-right position didn't fix the flux, do a PSM focus scan following the remaining steps here.
- Execute the PSM focus scan: ret = tools.psmf_PD_scan(start=-0.2, stop=0.2, nsteps=7, start_psmf=2.50). The arguments are hopefully self-explanatory, the units are in stage-native units (mm) and start_psmf is the center point of the scan.
- Once the scan is done, plot the result: tools.plot_psmf_PD_scan(ret[0], ret[2], ret[1]).
- The plot should show a peak. The X-axis is the PSM focus position with that optimal peak. You can then command the PSM focus stage to that position (can use the GUI to do this). This stage has no backlash issues, so once you move it there, you should be at the optimal focus.

- NOTE: if the optimal focus has changed significantly (>0.05mm) from the default (2.54mm as of May 2024), let the KPIC team know, as we will have to update the default position that the daycals script.
- However, once you command the PSM focus to this new position, you can proceed with fiber_finding and NCPA scans since neither of these functions moves the stage; only calib_setup_sfp, setup_for_spec, and focus_scan do.

(For Steps V-VII) How to take exposures on SPEC and SCAM manually:

- For SPEC: exposure time is set using atint <time> with a minimum of 1.5s (use nd1). Time is given in seconds, but may not be exact due to the NIRSPEC readout. You can take an exposure with goi.
- For SCAM: exposure time is set using tint2 <time> with a minimum of 0.67s (use nd6). Time is given in seconds, but may not be exact due to the NIRSPEC readout. You can take an exposure with goi2.
 - **Never** do atint2 this breaks SCAM. If SCAM seems weird, check the NIRSPEC troubleshooting section below.

(For Step V) NIRSPEC troubleshooting:

- If you see an error on SCAM that reads "Request for integration less than the minimum (7864 msec)." Usually accompanied by a weird SCAM image:
 - Right-click on a NIRSPEC GUI → NIRSPEC Tools Menu → Stop SCAM detector
 - Then, \rightarrow NIRSPEC Tools Menu \rightarrow Start SCAM detector
 - This takes 1-2 mins, so wait to see "status = Idle", then it's ready to expose

(For Step V) No light seen on SPEC after setting up for NIRSPEC:

- First: check that the NIRSPEC hatch is open this has tripped us up many times!
- Also check that you see light on the CRED2 and that it is being pointed to one of the fibers (should be SF2 by default). If you don't see light on the CRED2, try decreasing the FPS to 2 (using the FPS setting under the image display in the GUI). If still no light on CRED2, try centering the FAM. If still no light on CRED2, make sure the Keck source is on and you have ND1. You presumably had light on the CRED2 in the previous steps of the procedure, so one of these checks should have fixed things.
- Manually take a SCAM image. If the light is off the slit as seen on SCAM, then the SAM on_slit position is likely off by more than normal. Proceed to the SAM scan in step VI, but use a larger range (**e.g. set range=0.05 or 0.06**).
- If there's no light on SCAM, double-check that the NIRSPEC hatch is open and the filter/grating settings are correct.
- If NIRSPEC is set correctly, check that the NIRC2 dichroic (DFB stage in K2AO SC GUI) is in the correct position ("mirror"), so that all light goes to KPIC, not NIRC2.

(For Step VII) NIRSPEC headers not updating

• To restart the keyword service, open an nspec xterm as nspeceng@nirspecserver and run:

>> nirspec stop! nsheaders

>> nirspec start nsheaders

- After it restarts, you can check that it's running with nirspec status nsheaders
- Note: If your local xterm name looks like "[nspec4 @nirspec nspeceng]", you will likely see an error "Won't try to run script nsheaders, because host nirspec is not in its runhost..." To fix this:
 - In the same terminal, type ssh -X nspeceng@nirspecserver
 - Enter password .metoa*
 - Run the commands 1, 2, 3 above from this xterm.

Appendix 3: Optional and supplemental procedures

Verifying the Pupil and PSF alignment for first night of a run:

Description:

Sometimes, the PSF or Pupil fed from the Keck SFP is not well-centered on KPIC. Field shifts (ie. PSF not centered) eat up stroke on the KPIC FAM, limiting our tracking and offsetting capabilities. Pupil shifts (ie. pupil not centered) can cause reduced throughput due to the clipping on the pyramid FSM or on the KPIC pupil mask. Furthermore, pupil shifts can mis-register us on the BMC DM, leading to a bad NCPA correction on-sky.

Both these issues (PSF or pupil misalignment) are often due to the pyramid FSM (ao pmcf2x, and ao pmcf2y) not being set to the right position for feeding KPIC on-axis with the mirror on the Keck DFB. As such, we need to ensure that FSM2 is set to the right position. This is most relevant on the first night of a KPIC run, if the pyramid FSMs may have been set to feed light to a different position/offset.

This procedure provides instructions for checking both the PSF and pupil alignments on KPIC. It also provides steps for moving the FSM2 to fix any shifts.

Steps:

- 1. Make sure the FAM (Fiber Alignment Mirror) is set to the "center" position (numbers should be close to 5000, 5000). This is in the KPIC GUI: Devices tab → FIU → FAM.
- 2. Make sure the SFP is set to kpic_cal and that you are using the MIR KPIC Silica lamp. This is the default state of the system after running calib_setup_sfp().

Checking the PSF

- 3. With steps 1&2 complete, the PSF should land near the center of the CRED2. The center is ~320, 256. Note that +/- about 30 pix is okay, it doesn't need to be perfect.
- 4. If the PSF does not land near the center, you can command the FSM2 to a new position.
 - a. If you know the new position (for example, by referring to old notes about where the FSM2 has been in the past), you can run the following command in the kpython3 instance: execute_fsm_move(fsm_xposition, fsm_yposition)
 - b. If you don't know where it needs to go from the start, use the following commands in a regular nfiuserver terminal:
 - i. show -s ao pmcf2x; show -s ao pmcf2y to show current FSM positions
 - ii. modify -s ao pmcf2rx=delta_x where delta_x = (the goal x the current x position) shown by the previous command.

- iii. modify -s ao pmcf2ry=delta y where delta_y = (the goal y the current y position) shown by the previous command
- iV. modify -s ao pmcf2gr=1 (apply offset)
- v. Continue to iterate this process until the PSF is close to center

<u>Checking the Pupil</u>: note that the pupil should rarely need to be checked unless the FSM2 has moved drastically.

- 5. Set the CRED2 to pupil viewing mode:
 - a. Move the "Mode Change" stage (GUI: Devices tab → FIU → Pupil/Focus
 Changing) to the "pupil" position. Also set the FPS = 2 to have plenty of signal
- 6. Check that both pupils are relatively round and not clipped. The screenshot below shows roughly what the pupil should look like.



7. If the pupil looks clipped, move the FSM2 to get it rounder (see step 4b above for how to move the FSM2).

NOTE: you shouldn't have to move it far and if you do move it too far, you'll end up off-center on the PSF so you want to avoid that.

Scanning the KPIC PSM:

Description:

The KPIC FEU re-images the output of the KPIC bundle onto the NIRSPEC slit. This requires aligning the image not only in the focal plane (slit plane) but also in the pupil plane. The regular daycals procedure already accounts for the focal plane alignment in Step VII, since the SAM XY stage is not completely repeatable. However, the normal procedure omits the pupil plane alignment since the stage that controls this is incredibly reliable. That stage (the PSM - pupil steering mechanism) can position to better than 100um and the pupil in

this plane is on the order of millimeters. As such, we have not noticed any noticeable drift in the required PSM position even over the course of months.

However, if the NIRSPAO plate/FEU is removed from K2AO bench, the PSM stage is usually removed along with it. Thus, this can cause a small shift in the required PSM position. This is mitigated by mechanical references but, we have a procedure to realign the PSM if necessary.

Therefore, the procedure below should be run if the NIRSPAO plate/FEU are physically removed, or if you suspect the PSM position may need to be tweaked to realign with the NIRSPEC pupil stop.

Steps

- 1. Complete the procedure through step IV NIRSPEC setup
- 2. Note: make sure you've run kpic_sfp_source('off') to turn off the KPIC 2µm laser before opening the hatch to NIRSPEC.
- 3. Run setup_for_scam() to put light on SCAM
- 4. Note: DO NOT resize the window during the scan. For some reason this causes a comms crash. If it happens, just rerun the scan.
- 5. Run PSM_Scan_XY() to scan the PSM. Scan takes ~15 min. When the scan is done, a plot should pop up. I
- 6. If it looks good (see plot below, 2D Gaussian, small residuals), update to the best fit position with PSM.set_pos([<x>,<y>]) with the best-fit positions. Note that SCAM is pretty inconsistent so "good" is a low bar (see plot)



- 7. If positions were updated, change the presets in PSM.ini:
 - a. cd \$KROOT/src/kss/kpic/devices/PSM/PSM_ini/
 - b. vim PSM.ini
 - c. change the KL_bundle presets to your newly determined position (type "i" to edit, see screenshot below)

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- d. quit vim and save the file (Escape shift zz)
- e. make install in that in that PSM_ini subdirectory



- 8. If you update the PSM position, you'll want to have all the code recognize this update. This can be done by restarting the KPIC code
 - a. Close your open kpython3 terminals and the viewer. Run the KPIC_shutdown rmsems -V command. (Don't worry, this won't move anything, it just kills the code). Then run KPIC_init to restart the code. Then run start_kpic_cals to re-open your various calibration terminals and GUIs.

Appendix 4: Schematic Diagrams of KPIC

For additional details, see the technical description in this document



Schematic Diagram

Fiber Injection Unit (FIU) Optical Diagram and Pictures:





Fiber Extraction Unit (FEU) and NIRSPAO Plate:



To NIRSPEC

List of positions for KPIC during facility operations

The table below lists the position of all the relevant KPIC stages for science observations and daytime calibrations. Note that if a value is omitted, it is the same as for the KPIC science column.

Stage	KPIC Science	Daycals FIU	Daycals FEU	
Stages in the "Devices", "FIU" tab of the GUI				
Light Source Retractor	out			
PyWFS Pickoff	jband			
Coronagraph	pupil_mask			
ADC Retractor	out			
ADC	(does not matter)			
Track Cam Pickoff (TCP)	ds			
Filter Wheel	h			
Pupil/focus changing	focus			
(Mode Change)				
PIAA *	out			
Multiport	kl_bundle			
Stages in the "Devices", "FEU" tab of the GUI				
Pupil Steering Mechanism (PSM)	kl_bundle			
PSM focus **	~2.51	~2.54 (for PD)	~2.51	
Slit Alignment Mirror (SAM) ***	on_slit	pd_sfX	on_slit	
SAM rotator ****	in			
PD Pickoff (PDPO)	nirspec	PD	nirspec	

* Note – The PIAA "more" button will be yellow in the GUI. This is **fine**. It is kept in open loop most of the time. The daycals code opens/closes the loop if needed. If you need to move the PIAA yourself: click on "more", close the loop, move it, then open the loop.

** Note - the PSM focus may change slightly, this is what the focus_scan() optimizes for. The values above are as of June 2024.

*** Note - For the SAM positions:

- Should be on slit for NIRSPEC calibrations
- Should be pd sfX (where X is a science fiber number) when scanning that sf during FIU calibrations.
- Should be off slit for PSM XY Scan.
- Sometimes one fiber is close enough to on slit that it reports on slit as the position instead, and vice-versa, or it'll report a different fiber position.

**** Note – the SAM rotator should be set to 'out' for regular NIRSPAO observations.

Appendix 5: Breakdown of software functions

This section provides a breakdown of what stages and lights are modified by each function used for the procedure above. This is useful for debugging as well as for letting users know what they should expect to happen at each point. Functions which modify something on the K2AO bench are indicated in the function name and AO bench devices are bolded.

calib_setup_sfp (modifies AO bench)

- Checks that all KPIC stages are ready for operations (have their control scripts running, are connected, and have loops closed as needed). Checked stages include:
 - Multiport, Light source retractor, PyWFS Pickoff, Tracking camera pickoff, tracking camera filter, coronagraph, PSM XY, PSM focus, ADC retractor, PIAA, Pupil/focus view, SAM, SAM rotator, PD pickoff, KPIC MIR source, KPIC 2um laser, BMC DM, Keck SFP, FAM.
- Commands all stages to the right position for FIU calibrations. For Facility mode, the positions are shown in in the <u>table above</u>. This function puts the stages into the "Daycals FIU" positions.
- Queries user to know if they are good to move things in the AO bench. If so:
 - Moves the DFB to mirror
 - Sets AO light source to off
 - Sets AO light source blocker to open
 - Sets KPIC MIR lamp and 2um laser to on
 - Sets SFP to kpic-silica
 - Sets KPIC light source retractor to out

kpic_sfp_source (modifies AO bench)

- Checks the light source switch is in the KPIC position
- Sets the K2AO light source to open
- Moves the KPIC light source retractor out
- Sets the FAM to the center position
- If run with 'on' or source='on':
 - Moves the light source switch to put KPIC sources at the front of the AO bench
 - Checks that the KPIC MIR2 lamp is off
 - Turns on the KPIC MIR1 lamp
 - Turns on the KPIC 2um laser
 - Moves the SFP to KPIC-silica
- If run with 'off' or source='off':

- Moves the light source switch to put Keck source at the front of the AO bench
- Turns off the KPIC 2um laser
- Turns off the KPIC MIR lamps
- Turns the K2AO source on
- Moves the SFP to pws

fiber_finding

- Moves FAM to scan around fiber positions
- Moves BMC DM to apply a map
- Moves SAM to align with each science fiber

ncpa_scans_tests

- Moves FAM to stay on the desired fiber
- Moves BMC DM to apply modes
- Moves SAM to align with the science fiber

setup_for_spec (modifies AO bench)

- Sets the K2AO light source to nd1
- Modifies the tracking camera settings to appropriate values for ND1
- Moves the FAM to take a cred2 background and return to center
- Moves the PD pickoff to NIRSPEC
- Moves the SAM to on slit
- Moves the PSM to focus to 2.54
- Moves the light source retractor to out
- Takes an image with SPEC

sam_scan

- Sets K2AO light source to nd1 if not already set
- Sets NIRSPEC integration time and number of reads
- Moves SAM to scan across nirspec slit
- Takes exposures with NIRSPEC
- Moves FAM to maintain position on science fiber

focus_scan

- Moves PSM focus
- Takes exposures with NIRSPEC
- Moves FAM to maintain position on science fiber

kpic_offsky (modifies AO bench)

- Moves light source retractor out if not already there
- Moves pupil/focus viewing to focus if not already there
- Moves SAM rotator to out if sam_rot_out=True, otherwise leaves it in. Move the rotator out if doing NIRSPAO before KPIC
- Checks that the filter wheel is in h and warns if not.
- Checks that PD pickoff is out of the beam and moves it if not
- Confirms/turns off KPIC 2um laser, KPIC MIR sources, and K2AO light source
- Zeros the BMC DM

kpic_onsky (modifies AO bench)

- Turns on KPIC DAR
- Confirms/moves light source retractor out
- Confirms/moves SAM rotator in
- Confirms/moves pupil/focus viewing to focus
- Checks tracking filter is h and warns if not
- Confirms/moves PD pickoff out of the beam and powers it off after
- Confirms/turns off all calibration sources

setup_for_scam (modifies AO bench)

- Sets the K2AO light source to nd6
- Modifies the tracking camera settings to appropriate values for nd6
- Moves the FAM to take a cred2 background and return to center
- Moves the PD pickoff to NIRSPEC
- Moves the SAM to off slit
- Moves the PSM to focus to 2.54
- Moves the light source retractor to out
- Takes an image with SCAM

PSM_Scan_XY

- Moves PSM XY position to scan
- Moves tracking camera to take backgrounds/stay on fiber
- Sets SCAM integration time and takes SCAM exposures